## Traction batteries: automotive requirements, current status, and challenges ahead

4th Symposium on Energy Storage: Beyond Lithium Ion Pacific Northwest National Laboratory, Richland, Washington



Mark Verbrugge, Director, Chemical Sciences and Materials Systems Lab General Motors Research & Development



### Outline

#### □ Automotive drivers

- Societal drivers
- Automotive challenges
- GM electrification strategy

□ Beyond lithium ion...a couple thoughts

- □ Progress and challenges for lithium ion
  - How do lithium ion cells degrade?
  - Some potential approaches to solving degradation challenges

#### Summary

## PERSONAL MOBILITY MUST BE REINVENTED FOR THE 21<sup>st</sup> CENTURY



Sustainability Challenges

- ¶ Energy
- ¶ Emissions
- ¶ Safety
- ¶ Megacity
  - Congestion

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NA

NA

- Parking
- **¶** Affordability

Data from U.S. Census Bureau and GM Global Market & Industry Analysis

## EMERGING VS. MATURE MARKETS – GLOBAL COMPARISON: 2010



Source: GM Economics & Trade; IMF; U.S. Census Bureau/Haver Analystics

## TOP 10 MARKETS BY NEW VEHICLE SALES IN 2010



## **Really BIG questions**

#### Liquid fuels

- Future price & availability of oil?
- Efficacy of bio-derived fuels?

Importance of zero on-vehicle "regulated emissions" vs. CO<sub>2</sub> emissions & energy security?

- Fuel cells offer
  - 1. Range
  - 2. Short re-charge times
  - 3. Zero emissions
  - 4. Technical efficacy now
- What else can do this?

FCEVs vs. BEVs with fast charge vs. EREVs with bio-derived fuels?







#### BATTERY TECHNOLOGY IMPROVEMENTS (HEV)



#### GM FUEL CELL STACK PROGRESS





- In the interview (Technology Review 2009), Chu said that there are four "miracles" that need to happen before hydrogen fuel cells can be practical. Basically, he says, we need better ways to produce, distribute, and store hydrogen, and we need better, cheaper fuel cells. "If you need four miracles, that's unlikely: saints only need three miracles," he said.
- Underscores the importance of the scientific method...make things work first at the cell level.

#### 4<sup>th</sup> Symposium on Energy Storage: Beyond Lithium Ion

Review Latest Developments
Explore Future Directions
Understand Potential Challenges

#### Date: June 7-9, 2011

June 7-9, 2011

Pacific Northwest National Laboratory Richland, Washington, U.S.A.

Organizers: PNNL, LBNL, ANL, ORNL, IBM Contacts:

Program Committee Chair: Venkat Srinivasan (vsrinivasan@lbl.gov)

Local Chairs: Jun Liu (jun.liu@pnl.gov) Jason Zhang (jiguang.zhang@pnl.gov)

ttp://beyondli.ioniv.labworks.org









#### 1. Conventional lithium ion

- Specific power and energy +
- Life (cycle and calendar) +
- Abuse tolerance
- Martin Winter, Jürgen O. Besenhard, Michael E. Spahr, and Petr Novák, Adv. Mater. 1998.
- M. Stanley Whittingham, Chem. Rev. 2004.

Ceramic or Li<sup>0</sup> electrolyte

#### 2. Solid state lithium ion

- Abuse tolerance
- Specific energy +
- Specific power (particularly at low temperatures)

Vibration resistance

- Boone B. Owens, J. Power Sources, 2000.
- Danilov, Niessen, and Notten, J. Electrochem. Soc., 2011



$\stackrel{L_{I^{+}}}{\longleftrightarrow}$
Ceramic electrolyte over Li
Organic solvent or

Fuel cell air cathode

#### 3. Li-air batteries

- Specific energy (note gain in cell + mass with discharge)
- Life
- Specific power
- Complexity
- Kowalczk, Read, and Salomon, J. Pure Appl. Chem., 2007.
- 1Danilov, Niessen, and Notten, J. Electrochem. Soc., 2011
- Na|Air cell: Peled, Golodnitsky, Mazora, Goora, Avshalomova. J. Power Sources, 2010.





#### USABC Requirements of End of Life Energy Storage Systems for PHEVs

Characteristics at EOL (End of Life)		High Power/Energy	High Energy/Power	
Reference Equivalent Electric Range	miles	10	40	
Peak Pulse Discharge Power (10 sec)	kW	45	38	
Peak Regen Pulse Power (10 sec)	kW	30	25	
Available Energy for CD (Charge Depleting) Mode, 10 kW Rate	kWh	3.4	11.6 🗸	
Available Energy for CS (Charge Sustaining) Mode	kWh	0.5	0.3	
Minimum Round-trip Energy Efficiency (USABC HEV Cycle)	%	90	90	
Cold cranking power at -30°C, 2 sec - 3 Pulses	kW	7	7	
CD Life / Discharge Throughput	Cycles/MWh	5,000 / 17	5,000 / 58 🗲	
CS HEV Cycle Life, 50 Wh Profile	Cycles	300,000	300,000 <	
Calendar Life, 40°C	year	15	15 🔶	
Maximum System Weight	kg	60	120	
Maximum System Volume	Liter	40	80	
Maximum Operating Voltage	Vdc	400	400	
Minimum Operating Voltage	Vdc	>0.55 x Vmax	>0.55 x Vmax	
Maximum Self-discharge	Wh/day	50	50	
System Recharge Rate at 30°C	kW	1.4 (120V/15A)	1.4 (120V/15A)	
Unassisted Operating & Charging Temperature Range	°C	-30 to +52	-30 to +52	
Survival Temperature Range	O°	-46 to +66	-46 to +66	
Maximum System Production Price @ 100k units/yr	\$	\$1,700	\$3,400	

More battery requirements: www.uscar.org P/E, 1/hr

3.3

P/E, 1/hr, EFlex/Volt:

13

~8



conventional aprotic solvents are

initially impressive but are not stable.

Stripping time/s

Fig. 6. Effect of open-circuit standing on lithium stripping. The trace with the highest current density corresponds to immediate stripping after deposition. Open-circuit standing for longer times after deposition are shown to yield reduced stripping currents. Pt disk of diamcter 10 µm; 1 s deposition at -200 mV; 200 mV stripping potential.



J. Electrochem. Soc., Vol. 143, No. 1, January 1996 © The Electrochemical Society, Inc.

#### Lithium Intercalation of Carbon-Fiber Microelectrodes



Mark W. Verbrugge\* and Brian J. Koch\*

Fig. 3. Characteristic cyclic voltammogram. Data for 300 cycles are platted.

- Ability of lamellar compounds of carbon to insert various species was well known by the later half of the 1800s (Schauffaütl, 1841...Sony, 1992)
- Aprotic solvents with high dielectric constants: W.S. Harris, Ph.D. Thesis, University of CA, Berkeley, 1958.

Single fiber electrode: phenomena associated with the fabrication of a porous electrode do not obfuscate the subsequent characterization...use the Scientific Method to isolate critical characteristics

Extremely stable lithiated carbon anode <u>and</u> Li reference (there is *still* confusion around the stability of carbon lithiation!)



## **GM VEHICLE ELECTRIFICATION STRATEGY**

Portfolio of solutions for full range of vehicles that provide customer choice



## GM'S PATH TO ELECTRIFICATION





### **PROJECT DRIVEWAY**



25-45 MILES GAS-FREE 1,850,000 MILES LOGGED

## **INVENTION 1900s**

## **REINVENTION TODAY**









## REINVENTING PERSONAL URBAN MOBILITY: EN-V (ELECTRIC, NETWORKED VEHICLE)





Maximum power per unit of battery mass

## Lithium ion challenges

### 

- Can we size pack closer to end-of-life requirements?
- Can we reduce materials & processes costs?
- 🗆 Life
  - How do electrodes fail?
  - Can we develop an accelerated life test?

#### **Temperature tolerance**

- Can we improve low temperature power?
- Why is battery life shorter at higher temperatures?

### Durability...terminologies, bathtub curves

#### Chemical degradation

- Critical role of SEI (solid electrolyte interface) to impede deleterious degradation reactions within lithium ion cells
- Calendar life determined by chemical degradation

#### Mechanical degradation

- Cyclic expansion and contraction of insertion or alloy materials leads to fatigue, cracking, and structural changes
- Cyclic life issues are affected by mechanical degradation and chemical degradation





Journal of Power Sources 72 (1998) 66-70



# Composition analysis of the passive film on the carbon electrode of a lithium-ion battery with an EC-based electrolyte

C.R. Yang, Y.Y. Wang \*, C.C. Wan

Department of Chemical Engineering, National Tsing-Hua University, Hsinchu, 30043, Taiwan

Received 17 March 1997; accepted 31 March 1997

#### Abstract

This work examines the formation of a passive film on the carbon electrode of lithium-ion batteries. With a single solvent of EC (ethylene carbonate), the structure of the passive film is found to be  $(CH_2OCOOLi)_2$ . In a DEC (diethyl carbonate) or DMC (dimethyl carbonate) system,  $C_2H_5OCOOLi$  and  $Li_2CO_3$  are formed on the surface of the carbon electrode. According to results from mass spectra,  $CO_2$  gas is the main product when EC is decomposed. By contrast, DEC is decomposed into CO and  $C_2H_6$ , and DMC into CO and  $CH_4$ . These findings suggest that the composition of the passive film depends on the chosen solvent. In a binary solvent system which contains EC, the passive film contains chiefly  $(CH_2OCOOLi)_2$ , which is identical to a single EC solvent system. © 1998 Published by Elsevier Science S.A. All rights reserved.

Keywords: Lithium battery; Passive film; Organic electrolyte







For N = 5000 cycles and a 12/16 or 75% capacity retention, the current efficiency per cycle must be such that



 $[Ah_0(\eta_l)^N]/Ah_0 > 0.75$ , or  $\eta_l > (0.75)^{(1/5000)}$ , hence  $\eta_l > 0.99994$ .

- This is why very low rates of lithium-consuming reactions can lead to premature cell failure. The rates can be so low that they are not measureable in terms of seeing current maxima associated with solvent reduction.
- Note: high capacity negatives (Si, Sn based)...large challenge!

Journal of The Electrochemical Society, 157 (4) A499-A507 (2010) 0013-4651/2010/157(4)/A499/9/\$28.00 © The Electrochemical Society

#### Aging Mechanisms of LiFePO<sub>4</sub> Batteries Deduced by Electrochemical and Structural Analyses

Ping Liu,<sup>a,\*,z</sup> John Wang,<sup>a</sup> Jocelyn Hicks-Garner,<sup>a,\*</sup> Elena Sherman,<sup>a</sup> Souren Soukiazian,<sup>a</sup> Mark Verbrugge,<sup>b,\*</sup> Harshad Tataria,<sup>b,\*</sup> James Musser,<sup>c</sup> and Peter Finamore<sup>c</sup>

<sup>a</sup>HRL Laboratories, LLC, Malibu, California 90265, USA <sup>b</sup>General Motors Corporation, Warren, Michigan 48092, USA <sup>c</sup>John Deere Southeast Engineering Center, Charlotte, North Carolina 28241, USA







**Figure 5.** C/20 discharge curves for LiFePO<sub>4</sub> (top) and graphitic carbon (bottom) when measured against metal lithium.

# Graphite|iron-phosphate cell...excellent power density, life, and potential for low cost. Challenged on energy density.



# Utility of dV/dQ vs Q, uniform shifting of peaks for graphite/FePO<sub>4</sub> cells



- Same as previous plot with the exception that origin now is at the fully discharged state...clear that distance between graphite peaks is nearly constant
- Conclusion: lithium consumption (at the negative electrode surface) is leading to capacity decline



- Current efficiency must be 0.9999 to reach 1000 cycles with 80% of initial capacity.
- A current efficiency of 0.99 yields 23 cycles!

M. W. Verbrugge and Y-T. Cheng, "Stress and Strain-Energy Distributions within Diffusion-Controlled Insertion-Electrode Particles Subjected to Periodic Potential Excitations," *J. Electrochem. Soc.*, 156(2009)A927.

# Current and next generation negative electrodes



prospects in the field of pure metals as negative electrodes for Li-ion batteries," *J. Mater. Chem.*, 2007, 17, 3759 – 3772

X. Xiao, P. Liu, J. S. Wang, M. W. Verbrugge, and M. P. Balogh, "Vertically Aligned Graphene Electrode for Lithium Ion Battery with High Rate Capability," *Electrochemistry Communications*, 13 (2011) 209–212.



5 nm

- Nano-stabilization: thin films, small particles...surface forces for stabilization
- Nanometer thick aligned graphene films show excellent stability
- Should be helpful for characterization of the graphite-electrolyte interface
- Perhaps useful for very high specific power applications
  - (Low energy due to thin film approach as presented)



## Si Patterns

X. Xiao, P. Liu, M. W. Verbrugge, H. Haftbaradaran, and H. Gao, Journal of Power Sources, 196 (2011) 1409–1416





Pattern size 40 x 40  $\mu m^2$  Gap: 15  $\mu m$ 

Pattern size 17 x 17  $\mu m^2$  Gap: 10  $\mu m$ 

The crack spacing is around 5 to 10 \_\_\_\_ microns, comparable to the pattern with 2000 mesh size.

- Can the gaps provide necessary stress relaxation?
- How large of a pad size can be accommodated?





Pattern size 7 x 7 μm<sup>2</sup> Gap: 7 μm

Related Si island works

L.Y. Beaulieu, K.W. Eberman, R.L. Turner, L.J. Krause, J.R. Dahn, Electrochem. Solid-State Lett. 4 (2001) A137.

Y. Tian, A. Timmons, J.R. Dahn, J. Electrochem. Soc. 156 (2009) A187.

## In-situ Stress Measurement with MOSS system (Multibeam Optical Stress Sensors)

Wafer curvature R δx  $\frac{1}{R} = \left(\frac{d - d_0}{d_0}\right) \frac{\cos \alpha}{2L}$ **Stoney Equation** δθ  $\sigma_f h_f = \frac{1}{6} M_s H_s^2 R$ δd Etalon Sample contac Quartz wind Cu Tape Sample Separator Li teflon Steel Li Contact

 $\sigma_f$  in-plane film stress

- $h_f$  film thickness
- *M<sub>s</sub>* substrate biaxial modulus
- H<sub>s</sub> substrate thickness

Si film

*R* substrate radius of curvature

metal film elastic substrate

See Janssen et al., "Celebrating the 100th anniversary of the Stoney equation for film stress: Developments from polycrystalline steel strips to single crystal silicon wafers," *Thin Solid Films* 517 (2009) 1858–1867.

## **Preparation of Si patches**



SEM images of through-mask, sputtered, patterned Si film

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# Comparison of 50 nm thick Si samples: continuous film vs. 7x7 µm<sup>2</sup> pattern







See also Sumit K. Soni, Brian W. Sheldon, Xingcheng Xiao and Anton Tokranov, "Thickness effects on the lithiation of amorphous silicon thin films," *Scripta Materialia* 64 (2011) 307–310.

# Solid mechanics

$$\tau_{cr}^{int} = \min(\tau_{Y}^{Cu}, \tau_{f}^{int})$$
$$\tau_{Y}^{Cu} = 40 \text{ MPa}$$
$$\tau_{f}^{int} = 40 \text{ MPa}$$

Q. Li, K.-S. Kim, Proc. R. Soc. A 464 (2008) 1319.

We seek the minimum crack spacing  $L_{cr}$  that does not allow an extra crack to be formed in between the existing cracks.

Below this minimum crack spacing, the stress in the lithiated Si film can not reach its plastic yield stress and therefore no strain localization in the film can take place to form an additional crack.

$$L_{\rm cr} = \frac{2\sigma_{\rm Y}^{\rm Si}}{\tau_{\rm cr}^{\rm int}}h\sim 5.1 - 8.9\,\mu{\rm m}$$



M. W. Verbrugge,<sup>1</sup> R. D. Deshpande,<sup>2</sup> J. Li,<sup>2</sup> and Y-T. Cheng,<sup>2</sup> "The search for high cycle life, high capacity, **self healing** negative electrodes for lithium ion batteries and a potential solution based on lithiated gallium," 2011 MRS Spring Meeting Symposium M Paper Number 1029460.

<sup>1</sup>Chemical Sciences and Materials Systems Laboratory, General Motors Research and Development Center, Warren, MI 48090, USA

<sup>2</sup>Department of Chemical and Materials Engineering, University of Kentucky, Lexington, Kentucky 40506, USA



#### Related works

- Wen, C.J. and Huggins, R.A., "Electrochemical Investigation of the Lithium-Gallium System," *J. Electrochem. Soc.*, 128 (8): 1636-1641 (1981).
- Saint, J., Morcrette, M., Larcher, D., and Tarascon, J.M., "Exploring the Li-Ga Room Temperature Phase Diagram and the Electrochemical Performances of the Li<sub>x</sub>Ga<sub>y</sub> Alloys vs. Li," *Solid State Ion.*, 176 (1-2): 189-197 (2005).





# *Mechanistic summary* $Li_{2x}Ga$ system (0 < x < 1) at 40°C



LM: Liquid Metal

□ Cracks are self-healed by the solid-to-liquid phase transformation upon discharge of the negative Li<sub>2x</sub>Ga electrode

